

Item 1 Cover Page

SUPERVISED PERSON BROCHURE
FORM ADV PART 2B

Grant Hartmann



**Retirement
Resource**

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This brochure supplement provides information about Grant Hartmann and supplements The Retirement Resource brochure. You should have received a copy of that brochure. Please contact Grant Hartmann if you did not receive the brochure or if you have any questions about the contents of this supplement.

**ADDITIONAL INFORMATION ABOUT GRANT HARTMANN (CRD #8079041)
IS AVAILABLE ON THE SEC'S WEBSITE AT WWW.ADVISERINFO.SEC.GOV.**

Brochure Supplement (Part 2B of Form ADV)

Supervised Person Brochure

Grant Hartmann

- Year of birth: 2001

Item 2 - Educational Background and Business Experience

Educational Background:

- Bemidji State University; Bachelor of Science – Degree in Finance and Degree in Management; 2024

Business Experience:

- V.C. Insurance Sales, Inc. dba The Retirement Resource; Investment Advisor Representative; 04/2025-Present
- V.C. Insurance Sales, Inc. dba The Retirement Resource; Client Associate; 05/2024-Present
- Bemidji State University; Event Staff; 01/2022 – 11/2024
- Full Time Student; 04/2015 – 05/2024
- Northern State University; Intern Teller; 01/2024 – 04/2024

Item 3 - Disciplinary Information

- A. Grant Hartmann has never been involved in a criminal or civil action in a domestic, foreign or military court of competent jurisdiction for which he:
1. Was convicted of, or pled guilty or nolo contendere (“no contest”) to (a) any felony; (b) misdemeanor that involved investments or an investment-related business, fraud, false statement or omissions, wrongful taking of property, bribery, perjury, counterfeiting, or extortion; or (c) a conspiracy to commit any of these offenses;
 2. Is the named subject of a pending criminal proceeding that involves an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses;
 3. Was found to have been involved in a violation of an investment-related statute or regulation; or
 4. Was the subject of any order, judgement or decree permanently or temporarily enjoining, or otherwise limiting, him from engaging in any investment related activity, or from violating any investment-related statute, rule, or order.
- B. Grant Hartmann has never had an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority in which he:

1. Was found to have caused an investment-related business to lose its authorization to do business; or the subject of an order by the agency or authority;
 2. Was found to have been involved in a violation of an investment-related statute or regulation or was the subject of an order by the agency or authority.

(a) denying, suspending or revoking the authorization of the supervised person to act in an investment-related business; (b) barring or suspending his association with an investment-related business; (c) otherwise significantly limiting his investment-related activities; or (d) imposing a civil money penalty of more than \$2,500 on him.
- C. Grant Hartmann has never been the subject of a self-regulatory organization (SRO) proceeding in which he:
1. Was found to have caused an investment-related business to lose its authorization to do business; or
 2. Was found to have been involved in a violation of the SRO's rules and was: (a) barred or suspended from membership or from association with other members, or was expelled from membership; (b) otherwise significantly limited from investment-related activities; or (c) fined more than \$2,500.
- D. Grant Hartmann has not been involved in any other hearing or formal adjudication in which a professional attainment, designation, or license of the supervised person was revoked or suspended because of a violation of rules relating to professional conduct.

Item 4 - Other Business Activities

Grant Hartmann has no outside business activities at this time.

Item 5 - Additional Compensation

Grant Hartmann does not receive any additional compensation or any performance-based fees.

Item 6 - Supervision

Grant Hartmann is supervised by Veronica Redfield, Chief Compliance Officer of Retirement Resource. Ms. Redfield reviews Mr. Hartmann's work through client reviews and quarterly personal transaction reports, as well as face to face and phone interactions. Ms. Redfield can be reached at vredfield@retirementresourcend.com or (701) 639-7899.

Item 7 - Requirements for State-Registered Advisors

- A. Grant Hartmann has not been involved in any of the following:
1. An award or otherwise been found liable in an arbitration claim alleging damages in excess of \$2,500 involving any of the following:
 - a) An investment or an investment-related business or activity;
 - b) Fraud, false statement(s) or omissions;
 - c) Theft, embezzlement or other wrongful taking of property;
 - d) Bribery, forgery, counterfeiting, or extortion;
 - e) Dishonest, unfair or unethical practices.

2. An award or otherwise been found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - a) An investment or an investment-related business or activity;
 - b) Fraud, false statement(s) or omissions;
 - c) Theft, embezzlement or other wrongful taking of property;
 - d) Bribery, forgery, counterfeiting, or extortion;
 - e) Dishonest, unfair or unethical practices.
- B. Grant Hartmann has never been the subject of a bankruptcy petition.